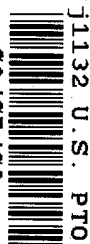


03/05/02



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03/05/02

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	12361-SUS
	First Inventor	LEUNG, Mark
	Title	INTRADISCAL LESIONING DEVICE
	Express Mail Label No.	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 36] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix. - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 8]</p> <p>5. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>	ACCOMPANYING APPLICATION PARTS <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. 3.73 (b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input type="checkbox"/> Other: _____</p>
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
		020988	
Name		PATENT AND TRADEMARK OFFICE	
Address			
City	State	Postal Code or Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Joan Van Zant	Registration No. (Attorney/Agent)	21815
Signature		Date	March 05, 2002

[SOR.PAT.FORM 108 - 10/2001]

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT	(\$)	410.00
Application Number		
Filing Date		
First Named Inventor	LEUNG, Mark	
Examiner Name		
Group (Art Unit)		
Attorney Docket No.	12361-SUS	

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit over payments for:

Deposit Account Number: 195113

Deposit Account Name: Swabey Ogilvy Renault

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	201	370	Utility filing fee			370.00
		105	330	206	165	Design filing fee			
		107	510	207	255	Plant filing fee			
		108	740	208	370	Reissue filing fee			
		114	160	214	80	Provisional filing fee			
SUBTOTAL (1) (\$)									370.00

2. EXTRA CLAIM FEES		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Total Claims	- 20** =								
Independent Claims	- 3*** =								
Multiple Dependent									

** or number previously paid, if greater. For Reissues, see below

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	13	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

*** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	65	Surcharge - late filing fee or oath			
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
		139	130	139	130	Non-English specification			
		147	2,520	147	2,520	For filing a request for reexamination			
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
		115	110	215	55	Extension for reply within first month			
		116	400	216	200	Extension for reply within second month			
		117	920	217	460	Extension for reply within third month			
		118	1,440	218	720	Extension for reply within fourth month			
		128	1,960	228	980	Extension for reply within fifth month			
		119	320	219	160	Notice of Appeal			
		120	320	220	160	Filing a brief in support of an appeal			
		121	280	221	140	Request for oral hearing			
		138	1,510	138	1,510	Petition to institute a public use proceeding			
		140	110	240	55	Petition to revive - unavoidable			
		141	1,280	241	640	Petition to revive - unintentional			
		142	1,280	242	640	Utility issue fee (or reissue)			
		143	460	243	230	Design issue fee			
		144	620	244	310	Plant issue fee			
		122	130	122	130	Petitions to the Commissioner			
		123	50	123	50	Petitions related to provisional applications			
		126	180	126	180	Submission of Information Disclosure Stmt			
		581	40	581	40	Recording each patent assignment per property (times number of properties)			40.00
		145	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
		148	740	248	370	For each additional invention to be examined (37 CFR § 1.129(b))			
		179	740	279	370	Request for Continued Examination (RCE)			
		169	900	169	900	Request for expedited examination of a design application			
Other fee (specify)									

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Joan Van Zant	Registration No. (Attorney/Agent)	21815	Telephone	416-216-1868
Signature				Date	March 5, 2002